



What Information is Required to Issue Stock to an Investor?

1. Full Name of Shareholder

First name initials are not acceptable to prevent ambiguity of stock ownership. (For example, stock registered to J. Smith could belong to John or Jane Smith.)

2. Mailing Address

We must maintain an accurate database of mailing addresses for all shareholders. We will ONLY contact shareholders at the request of the issuer for purposes relating to their stock (i.e. Proxy Solicitation, General Notice to Shareholders, Dividend Payment, etc.)

3. Unique Government-Issued Identification Number

- a. Located in U.S.: Social Security or Tax ID
- b. Located in Canada: Social Identification Number or Business Identification Number
- c. Located outside the US and Canada: Passport Number or other Government Issued ID Number

A valid ID is required for all investors for the security of the shareholder, issuer, and transfer agent.

OFAC Compliance: We are required to scan our shareholder database against the most recent OFAC list on a daily basis. If a potential "match" is found, we use the ID to determine if the hit is a positive match.

Identity Protection: If a shareholder submits an inquiry to us, the last four digits of the ID can be used to positively identify the shareholder before relinquishing personal information to that person.

Dividend Requirement: If an issuer determines to issue a cash dividend to shareholders, regulations mandate that we withhold the dividend of any investors who do not have a certified tax ID on file with us.

Fraud-Prevention: Requiring an ID insures that stock is not being issued to non-human entity.

All shareholder information is retained and managed in our secure database system. Personal information is kept confidential, and is not shared or made available to anyone outside of Island Stock Transfer.